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Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Pap	TAUE!	persons	are required to respond to a collection			splays a valid ONB control number.
			Application Number	09/824,	305	
TRANSMITTAL		Filing Date	April 2,	April 2, 2001		
FORM		First Named Inventor	Mahaja	Mahajan, Umesh		
			Art Unit	3624		
(to be used for all	correspondence after initial i	iling)	Examiner Name	Alpert, James M.		
Total Number of Pa	ages in This Submission		Attorney Docket Number	G08.05	5	
		ENC	LOSURES (Check all th	at apply)		
Fee Trans	mittal Form		Drawing(s)		After A	Ilowance communication to (TC)
Fee	Attached		Licensing-related Papers			Communication to Board of s and Interferences
Amendme	ent / Reply		Petition			al Communication to TC al Notice, Brief, Reply Brief)
Afte	er Final		Petition to Convert to a Provisional Application		Propri	etary Information
Affic	davits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Ad	dress	Status	Letter
Extension	Extension of Time Request		Terminal Disclaimer		Comm copies	Enclosure(s) (please identify : Copy of European nunication, Form 1449 with of 2 cited references, and wiedgement Postcard
Express A	bandonment Request		Request for Refund			
X 2 nd Supplemental Information Disclosure Statement		CD, Number of CD(s)				
	copy of Priority		Landscape Table on CD			
Document(s) Reply to Missing Parts/ Incomplete Application		Rem	arks			
Reply to Missing Parts under 37 CFR 1.52 or 1.53		•				
	SIGNA	ATURE	OF APPLICANT, ATTORN	EY, OR	AGENT	
Firm Name	Buckley, Maschoff & Ta					
Signature AAAA						
Printed name						
Date September 30, 2005				Re	g. No. 34,860)
	CERTIFICATE OF TRANSMISSION/MAILING					
sufficient postage a	this correspondence is be s first class mail in an env he date shown below.	ing facsi elope add	mile transmitted to the USPTO or dressed to: Mail Stop Amendment	deposite , Commis	d with the Unite sioner for Paten	d States Postal Service with ts, P.O. Box 1450, Alexandria,
Signature	Edin	M	<i>A</i> .			
Typed or printed na	me Edith Martin	7	7		Date	September 30, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**In you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: MAHAJAN et al.

Application No.: 09/824,305

Filing Date: April 2, 2001

For: APPARATUS, METHODS AND

ARTICLES OF MANUFACTURE

FOR COMPUTERIZED

TRANSACTION EXECUTION AND

PROCESSING

Group Art Unit: 3624

Examiner: Alpert, James M.

2nd SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Attorney Docket No.: G08.055

PTO Customer Number 28062 Buckley, Maschoff & Talwalkar LLC

Five Elm Street

New Canaan, CT 06840

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 30, 2005.

Dated: September 30, 2005

: /C

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant submits herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor

Application Serial No.: 09/824,305 Attorney Docket No.: G08.055

shall the filing of this Information Disclosure Statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

The items listed herein were cited in a June 14, 2005 European Patent Communication in a counterpart application. A copy of the Communication Report is also enclosed.

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed before the mailing date of first office action on the merits.

Respectfully submitted,

September 30, 2005

Date

Nathaniel Levin

Registration No. 34,860

Buckley, Maschoff & Talwalkar LLC

Five Elm Street

New Canaan, CT 06840

(203) 972-3460



2nd SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known				
Application Number	09/824,305			
Filing Date	April 2, 2001			
First Named Inventor	MAHAJAN, Umesh			
Group Art Unit	3624			
Examiner Name	Alpert, James M.			
Attorney Docket Number	G08.055			

	U.S. PATENT DOCUMENTS						
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Examiner Cite Initials* No.1 Numbe		Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	
							
							
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				FOREIGN	PATENT DOCUMENTS			
			Foreign Patent Doc		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Examiner Initials*	Cite No.	Office ³	Number ⁴	Kind Code ⁵ (<i>if known</i>)				_ Τ⁵
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	В	WO	00/70524	A1	Kopikis et al.	11/23/2000		
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Examiner	Date	
Signature	 Consider	ed

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO		· · · <u>-</u>	Complete if Known			
				Application Number	09/824,305	
	PPLEMENTA MATION DISC		SURE	Filing Date	April 2, 2001	
STATE	MENT BY AF	PL	ICANT	First Named Inventor	MAHAJAN, Umesh	
				Group Art Unit	3624	
(use as many sheets	as ned	cessary)	Examiner Name	Alpert, James M.	
Sheet	2	of	2	Attorney Docket Number	G08.055	

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²				
		"European Patent Communication", mailed 14/06/2005, for 02 721 615.9					

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